

Climatology of Extreme Cold Events in the central Peruvian Andes during Austral Summer: Origin, Types and Teleconnections

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Abstract

The climatological and large-scale characteristics of the extreme cold events (ECEs) in the central Peruvian Andes (Mantaro basin (MB)) during austral summer (January–March) are examined using reanalysis, gridded and in-situ surface minimum temperature (Tmin) data for the 1979-2010 period.

To describe the influence of the Madden-Julian Oscillation (MJO) on ECEs in the MB, two ECE groups are defined on basis of the sign of the OLR anomalies in the MJO band (30-100 days, 0-9 eastward) at (75°W, 12.5°S). Type 1- ECEs occur during the suppressed convection phase of the MJO (OLR anomalies $\geq +2 \text{ W m}^{-2}$) while Type 2-ECEs occur during the enhanced convection phase of the MJO (OLR anomalies $\leq -2 \text{ W m}^{-2}$).

ECEs in the MB are associated with the advection of cold and dry air along the east of the Andes through equatorward propagation of extratropical Rossby wave trains (ERWTs). This cold advection weakens the Bolivian High-Nordeste Low (BH-NL) system over South America (SA) at upper-tropospheric levels.

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The MJO is an important driver of ECEs in the MB, favoring the cold advection along the Andes during specific MJO phases. 59% of Type-1 ECE's and 86% of Type-2 ECE's occur in MJO Phases 7-2. Type-1 and 2 ECE's feature a weakened BH over SA at upper-tropospheric levels. For Type-1, ERWTs emanate from southeastern Africa in MJO Phases 8-1 while ERWTs are strengthened when crossing the subtropical southern Pacific Ocean during MJO Phases 2 and 7. With respect to Type-2, MJO Phases 7-2 feature circumpolar Rossby wave trains propagation toward SA. Ultimately, MJO Phases 7-2 induce negative Tmin anomalies over MB, while MJO Phases 3-6 induce positive Tmin anomalies. El Niño and La Niña strengthen negative Tmin anomalies over the MB during MJO Phases 7-8 while they weaken positive Tmin anomalies over the MB during MJO Phases 3-6.

Keywords: Extreme cold episodes, central Peruvian Andes, ENSO, MJO, southeastern Africa, atmospheric teleconnections, extratropical Rossby waves, Bolivian High

1. Introduction

The Andes are the most prominent topographic feature of South America (SA), extending from the southern tip of the continent (53°S) to northernmost Colombia (10°N). The tropical and subtropical portions of the Andes mountains have a mean maximum height around 4000 m ASL (Garreaud *et al.*, 2009). The Andes are exposed to the tropical and extra-tropical upper-level large-scale circulation, characterized by moderate easterly winds at low latitudes (north of 15°S) and westerly winds at subtropical/extratropical latitudes. To the west of the central Andes, the South Pacific subtropical anticyclone produces dry and stable conditions, with moist air confined below the subsidence inversion around 900 hPa (Garreaud *et al.*, 2009). The lowlands to the east of the Andes on the other hand are controlled by a heat low with high humidity prevailing in the lower and middle troposphere (Garreaud *et al.*, 2009).

Most of the cloudiness in the central Andes appears during the austral summer (January - February - March) when mid- and upper-level easterly winds - enhanced by the strengthening of the Bolivian High - entrain near-surface upslope easterly flow. This flow is responsible for moist air influx from the Amazon basin to the central Andes, favoring increased cloudiness (e.g., Garreaud and Wallace, 1997; Vuille and Keimig, 2004; Garreaud *et al.*, 2003).

The Mantaro basin (MB) is located in the central Peruvian Andes ($10^{\circ}34'S$ – $13^{\circ}35'S$, $73^{\circ}55'W$ – $76^{\circ}40'W$) covering an area of approximately 34,550 km² (Figure 1(b)). It is a complex Andean region with altitudes ranging from 500 to 5350 m ASL, and its average altitude is 3800 m ASL. The Mantaro valley (MV) is located at $11^{\circ}40'S$ – $12^{\circ}10'S$, $75^{\circ}10'W$ – $75^{\circ}15'W$, covering an area of approximately 65,500 ha at 3300 m ASL. (Figure 1(c)). MV is one of the agriculturally most productive areas of the Peruvian Andes and even entire Peru, with 77% of its entire surface area dedicated to rain-fed agriculture (Zubieta, 2010). Nonetheless, the Mantaro basin is often affected by extreme hydrometeorological events during the austral summer, such as dry and wet spells (e.g., Sulca *et al.*, 2016; Zubieta *et al.*, 2016) or floods (Zubieta *et al.*, 2012), which negatively impact local crop yields. Highest temperatures are reached during austral summer (January-February-March) when the average value is about 7°C (e.g., Trasmonte *et al.*, 2010). At the same time, cloud cover over the MB also reaches a maximum. By contrast, both variables register their minimum values during austral winter (Instituto Geofísico del Peru (IGP), 2005).

During austral summer the MB frequently registers cold episodes, when the temperature drops during the nighttime or early morning hours. Low temperature can damage local crops, such as happened during cold episodes in 2006, 2007 and 2011 (Giraldez, 2012). In 2007 such cold episodes led to economic losses around 3 million

US\$ in corn and potato crops [Dirección Región Agrícola - Junin, (DRA-JUNIN), 2010]. However, knowledge about the physical mechanisms associated with extreme cold events (hereafter ECEs) in the central Andes of Peru is very limited.

The Madden-Julian Oscillation (MJO) is an atmospheric, large-scale, eastward propagating circulation anomaly, originating in the western Indian Ocean, which is confined to the tropics, moves at around $5\text{-}10\text{ m s}^{-1}$ and has a recurrent average duration from 30 to 90 days (Madden and Julian, 1972; 1994). The MJO registers its maximum peaks during austral summer and autumn seasons. Alvarez *et al.* (2016) have demonstrated that the MJO modulates the daily surface temperature along the Peruvian Andes during austral summer. They also documented that the MJO has two different mechanisms to lower daily surface temperature over the Peruvian Andes during austral summer. However, the results documented in Alvarez *et al.* (2016) have hitherto not been applied to investigate extreme cold episodes in the MB. Hence, here we will characterize the physical mechanisms associated with extreme cold episodes in the MB to document their dynamical link with changes in the large-scale circulation induced by the MJO. Establishing such dynamical links between local meteorological variables and the large-scale circulation might facilitate the development of a monitoring and prediction system to prevent future damages to local agriculture.

The El Niño - Southern Oscillation (ENSO) is a coupled ocean-atmosphere phenomenon originating in the tropical Pacific with global impacts. El Niño and La Niña represent the warm and cool phases of ENSO, respectively. Large-scale weather anomalies associated with El Niño and La Niña conditions have been documented around the globe through atmospheric and oceanic teleconnections, with significant

impacts on society and natural system (Ropelewski and Halpert, 1987; Trenberth *et al.*, 1998; McPhaden *et al.*, 2006).

The anomalously warm conditions in the central Pacific associated with El Niño lead to heating of the entire tropical troposphere and induce a stationary Rossby wave at upper-tropospheric levels (Gill, 1980). This Rossby wave response is characterized by a pair of anticyclones straddling the equator with their nuclei around 140 and 130°W, respectively, easterly equatorial wind anomalies and positive equatorial geopotential height anomalies extending to the far-eastern Pacific (Garreaud and Aceituno, 2001). Recently, Sulca *et al.* (2018) showed that the stationary Rossby wave response is also observed when the warm conditions reach the far-eastern Pacific, but the stationary Rossby wave response is weaker than during warm conditions over the central Pacific. In South America Vuille *et al.* (2000) and Garreaud *et al.* (2003) pointed out that warm conditions over the central Pacific associated with El Niño increase air temperature of South America north of 20°S, which includes the Peruvian Andes. The opposite case occurs during La Niña events. However, there exist no previous studies about the influence of ENSO on extreme cold events in the Mantaro basin during austral summer. As already mentioned above for the case of the MJO, establishing a relationship between ENSO and extreme cold episodes in the MB might also contribute to the development of a seasonal prediction system, to reduce future damages to local agriculture.

Motivated by these considerations, the primary goal of this study is to assess the relationship between the large-scale circulation and extreme cold episodes in the Mantaro basin during austral summer (January-February-March, JFM). The second goal is to establish the relationship between ENSO and extreme cold episodes in the MB during austral summer. The third goal is to describe the impacts of the MJO on

extreme cold episodes in the Mantaro Basin during austral summer. To achieve these objectives, we use in-situ temperature data recorded at several locations in the central Peruvian Andes during the period 1979-2010.

The paper is organized as follows. Section 2 contains the scientific background for our study. Section 3 discusses the data and methods that were applied. Section 4 presents the results from our composite analysis for atmospheric circulation patterns linked to extreme cold episodes during austral summer (JFM). Section 5 presents the different types of cold episodes that occur in the MB during austral summer, while section 6 takes a closer look at the impacts of the MJO phase during different ENSO states on extreme cold episodes in the Mantaro Basin. Finally, the last section discusses the results, summarizes this study and ends with some conclusions.

2. Background

During the austral summer, the atmospheric circulation in South America (SA) is characterized by the development of the South American Monsoon System accompanied by enhanced convective activity, mainly over the central Amazon (e.g., Vera *et al.*, 2006; Garreaud *et al.*, 2009; Marengo *et al.*, 2012).

The upper-level atmospheric circulation over South America during the austral summer (January-February-March) is characterized by a large-scale system called Bolivian High-Nordeste Low (BH-NL) system (Chen *et al.*, 1999). The Bolivian High is an upper-tropospheric anticyclonic circulation caused by the latent heat released during deep convection over the Amazon basin (Lenters and Cook, 1997). The Nordeste Low is an upper-level cyclone located near the northeast coast of Brazil. This vortex over northeastern Brazil (NEB) is associated with net subsidence, compensating the intense upward vertical motion during the rainy season over the

Amazon basin according to regional energy balance studies (Kousky and Gan, 1981). The BH-NL system disappears during the austral winter because of strengthened westerly zonal flow over all of South America (Garreaud *et al.*, 2009).

Another main feature is the South Atlantic Convergence Zone (SACZ). The SACZ is an elongated convective area located between 20°S-40°S and 50°W-20°W. The SACZ is observed all year, forced by synoptic-scale wave trains from mid-latitudes (Liebmann *et al.*, 1999; Carvalho *et al.*, 2004; Espinoza *et al.*, 2012), but it is most active during austral summer (e.g., Kodoma, 1992; Figueroa *et al.*, 1995; Carvalho *et al.*, 2004).

On synoptic timescales (< 5 days), the strength and shape of the BH-NL system is modulated by several phenomena such as mid-latitude extratropical Rossby waves. For instance, the equatorward propagation of extratropical Rossby wave trains from mid-latitudes toward the tropics along the eastern coast of South America modulates the location, strength and shape of the BH (Garreaud, 1999a). Furthermore, these extratropical Rossby wave trains also modulate the intensity and location of the SACZ (e.g., Kousky, 1979; Liebmann *et al.*, 1999; Carvalho *et al.*, 2004) as well as the spatial distribution of the rainfall over the Amazon basin, including the Peruvian Amazonia (Espinoza *et al.*, 2012; Paccini *et al.*, 2017).

Cut-off lows are defined as closed lows that have detached from the main westerly current of air and taken a position equatorward of this current. In South America, cut-off lows have a short duration (up to 3 days) and a high likelihood of occurrence at 200-hPa (Reboita *et al.*, 2010). Cut-off lows have a marked seasonal cycle with a minimum frequency during austral summer (Fuenzalida *et al.*, 2005). Cut-off lows might induce moderate but unseasonal rainfall along the semiarid western slope of the Andes cordillera at altitudes above 5000 m ASL (Garreaud and Fuenzalida, 2007).

However, these studies did not establish any dynamical link between cut-off lows and extreme cold episodes in the central Peruvian Andes during austral summer.

Cold surges are defined as the incursion of cold and dry air masses from the southernmost part of the continent toward low latitudes. In South America, several observational and numerical studies have documented how cold surges can lead to a dramatic drop in air temperature along their trajectory during their northward propagation (Garreaud and Wallace, 1997; Garreaud, 1999a, 1999b, 2000; Lupo *et al.*, 2001; Garreaud *et al.*, 2003, 2009; Metz *et al.*, 2013). Trasmonte *et al.* (2008) documented that the local population of the central Peruvian Andes assumes that incursions of cold and dry air masses represent the main physical mechanism to generate cold episodes in the MB during austral summer. In contrast, Montes de Oca (1995) pointed out that cold surges sometimes generate sporadic precipitation events during their propagation along the eastern slope of the Bolivian central Andes. Similarly, Hurley *et al.* (2015) documented that around of 70% of the total snow accumulation on the Quelccaya ice cap; which is located in the Peruvian Andes at 5680 m altitude, is associated with cold air incursions. Recently, Sicart *et al.* (2016) documented how low-level southerly wind incursions along the eastern side of the central Andes enhance cloud cover over the Bolivian Andes by ~46%. These summer incursions of midlatitude air into subtropical and tropical South America provoke a significant enhancement of cloud cover along the eastern slope of the Andes, as well as a northeastward displacement of the SACZ (Garreaud and Wallace, 1997) during their northward propagation.

Several studies have documented how sea surface temperature (SST) anomalies over the South Indian and Pacific Oceans affect precipitation over South America during austral summer through propagation of extratropical Rossby wave trains (Berbery *et*

al., 1992; Hoskins and Ambrizzi, 1993; Ambrizzi and Hoskins, 1997; Drumond and Ambrizzi, 2008). For example, Drumond and Ambrizzi (2008) identified how SST anomalies in three regions located in the Indian Ocean (southeastern Africa), the southern Pacific and the equatorial Pacific (western Pacific Ocean and southwestern South Pacific) affect precipitation over SA during austral summer. The first region is located off the coast of southeastern Africa. Warm waters over this region induce wet conditions over subtropical SA. The extratropical Rossby wave train emanates from the Indian Ocean toward SA. The second region is Indonesia. Warm SST anomalies over this region induce drought conditions over the SACZ while at the same time promoting wet conditions over the South American subtropics, through propagation of a Pacific - South America-like (PSA) wave pattern, emanating from the western Pacific. The third region is located over the southwestern South Pacific. Warm water over this region favors wet conditions over the SACZ and drought conditions over subtropical SA. Again, a PSA-like wave train emanates from Indonesia and propagates toward SA. However, the above-mentioned studies did not assess the impact of changing SST over these three regions on daily minimum temperature over the central Peruvian Andes during austral summer.

Approaches to monitor and predict the MJO increasingly rely on standardized metrics to define its spatiotemporal behavior. One such approach is the Real-time Multivariate MJO index created by Wheeler and Hendon (2004; hereafter “WH2004”). This index consists of a pair (RMM1, RMM2) of empirical orthogonal functions (EOFs), which are calculated from the combined fields of near-equatorially averaged 850-hPa zonal wind, 200-hPa zonal wind, and outgoing long-wave radiation (OLR) data. This MJO index starts in 1974 because of the lack of OLR data prior to that date (Gruber and

Krueger, 2004). This data set is freely available at

<http://www.bom.gov.au/climate/mjo/graphics/rmm.74toRealtime.txt>.

Wheeler and Hendon (2004) show that RRM Phase-1 features the convection of a decaying MJO event in the central Pacific while enhanced convection of a growing event is observed over Africa and the western Indian Ocean. During the subsequent RMM Phases, the convection over the Indian Ocean develops and moves to the east, and, as it passes by the Australian landmass, shifts southward to be most concentrated around of 15°S (RMM Phase-5). Eastward movement of the 850-hPa wind anomalies is quicker. Thus, enhanced convection in RMM Phases-2 and 3 (over the Indian Ocean) is in near quadrature with the wind, while in RMM Phase 7 it is wholly encompassed within the westerlies (over the Pacific). In contrast, RMM Phases 1 and 2 feature enhanced convection over the western Indian Ocean, thereby contributing to the formation of midlatitude Rossby wave trains that propagate toward the southernmost part of the South American continent (Roundy, 2012b; see Figure (14.1)).

In South America, few studies exist regarding the impacts of the MJO on daily surface temperature during austral summer. For instance, Alvarez *et al.* (2016) show that the MJO may lead to a drop in daily surface temperature along the Peruvian Andes from RMM Phase 8 to 3 during austral summer. These RMM Phases are characterized by quite different regional circulation patterns over SA thus leading to two different mechanisms associated with ECE's over Peru. The first mechanism represents an enhancement of large-scale subsidence over the Peruvian Andes as a consequence of enhanced convective activity over tropical South America, resulting from an eastward propagation of the MJO from the western Pacific Ocean toward tropical South America (e.g., from RRM Phase 6 to 1) (see their Figure (7)). The

second mechanism features the equatorward propagation of Rossby waves along the Andes mountains, which leads to a drop in daily surface temperature over the Andes from RMM Phase 2 to 5 (see their Figure (7)). The two mechanisms, however, have quite different circulation patterns at upper-tropospheric levels. For instance, the first mechanism, which results in enhanced large-scale subsidence over the Peruvian Andes, is characterized by a core of negative geopotential height centered off the coast of northern Chile at 28°S, 78°W at upper tropospheric levels (250 hPa) (RMM Phase 8 in their Figure 1). The second mechanism, on the other hand, features a wide region of negative geopotential height over western South America, emanating from Australia before being refracted equatorward and crossing the Andes and the western Amazon basin toward the northern hemisphere around 5°N (RMM Phase 2 in their Figure 1).

The relationship between ENSO and the MJO has been extensively studied (Kessler, 2001; Moon *et al.*, 2011; and others). During the mature phase of El Niño events, the MJO activity shifts eastward into the central Pacific, and thus there is an expansion of the longitudinal domain of the MJO-related convective activity (Kessler, 2001; Moon *et al.*, 2011). Moon *et al.* (2011) pointed out that El Niño favors the eastern propagation of the MJO from RMM Phase 5 to 8 while it inhibits MJO propagation from RMM Phases 1 to 4 due to El Niño-induced enhancement of meridional baroclinity, which weakens the upper-level easterly flow over equatorial and tropical SA. Conversely, La Niña episodes lead to enhanced upper-level easterly flow over equatorial and tropical SA and thus inhibit MJO events over equatorial and tropical SA from Phases 5 to 8. The opposite is observed from Phase 1 to 4 during La Niña. Few studies exist about the combined impacts of concurrent ENSO events and MJO phases on minimum temperature anomalies over SA during austral summer. For

example, Shimizu and Ambrizzi (2016) pointed out that El Niño weakens the negative minimum temperature anomalies over the central and southern Peruvian Andes during RMM Phase 1. Conversely, La Niña strengthens the negative minimum temperature anomalies present over the central Peruvian Andes in RMM Phase 1. La Niña also induces negative minimum temperature anomalies over all of the Peruvian Andes and Bolivian Altiplano in RMM Phase 3. The lack of statistically significant minimum temperature anomalies over the central Peruvian Andes during the other RMM Phases concurrent with El Niño and La Niña events is attributed to the inadequate resolution of reanalysis data over the complex topography of the Andes Mountains.

3. Data and Methodology

We used daily minimum temperature and NCEP/NCAR daily reanalysis data to describe the extreme cold episodes in the central Peruvian Andes.

Observational daily minimum temperature (hereafter “Tmin”) data were obtained from five stations located within the Mantaro valley. The data were obtained from the Peruvian National Meteorology and Hydrology Service (SENAMHI) and the Peruvian Geophysical Institute (IGP) (Figure (1)). Station names and length of each record are listed in Table 1. All data were previously quality-controlled at these institutions. The selected station records contain less than 5% missing values in their JFM time series.

To describe the large-scale circulation associated with extreme cold episodes in the central Peruvian Andes during austral summer, we used daily NCEP-NCAR reanalysis data (Kalnay et al., 1996) of minimum surface temperature, geopotential height, and zonal and meridional wind at surface and 200-hPa levels. Data were extracted from the original data set at 12 UTC for the period 1979-2010. The 12 UTC

observation time was chosen because cold episodes occur between the nighttime and early morning (Trasmonte *et al.*, 2008; Saavedra and Takahashi, 2017). To study the changes in cloud cover during cold episodes in the MB, we used daily outgoing longwave radiation (OLR) data from NCAR/NOAA (Liebmann and Smith, 1996) for the period 1979-2010. To study the equatorward propagation of cold air masses to the east of the Andes Mountains during extreme cold episodes in the MB, we computed total temperature advection for the same period.

We defined extreme cold events in the central Peruvian Andes during austral summer as periods when the T_{min} of Huayao ≤ 2.5 percentile of the daily summer temperature distribution (about 2.5°C). The selection of Huayao station was motivated by the fact that its minimum temperature shows a strong linear correlation ($r \geq 0.77$, $p < 0.05$) with the rest of the stations located within the Mantaro basin during JFM for the period 2001-2010. Finally, we replaced missing data in the Huayao station record with the value of the linear regression of minimum temperature between Huayao and Santa Ana station, given its nearby location. We used this criterion as it had been applied successfully in a previous study regarding the incursion of cold surges in the Peruvian Amazon during austral winter (Espinoza *et al.*, 2013). The number of data replaced is $< 0.2\%$ over the entire period. For Peru, we used PISCO (Peruvian-interpolated data of the SENAMHI's climatological and hydrological observations; Huerta *et al.*, 2017) daily gridded minimum temperature data set, which has a horizontal resolution of $0.05^{\circ} \times 0.05^{\circ}$ for the period 1981–2016 and is based on the rain gauge network data of the Servicio Nacional de Meteorología e Hidrología (SENAMHI) (freely available at ftp://ftp.senamhi.gob.pe/PISCO_temperatura). A forecast system for tracking and prediction of large-scale organized tropical convection such as the MJO can be obtained by a two-step space-time EOF technique

(Roundy, 2012a). Roundy (2012a) defined as enhanced (suppressed) convection periods in the MJO band (30-100 days, wave numbers 0-9 eastward) when negative (positive) OLR anomalies are equal or lower than -2 W m^{-2} ($\geq +2 \text{ W m}^{-2}$). Thus, we classified ECEs in the MB into two groups: Type-1 episodes occur during periods of enhanced convection in the MJO band, while Type-2 episodes occur during periods of suppressed convection. Only extreme cold episodes, which coincide with active MJO ($\sqrt{RMM1^2 + RMM2^2} \geq 1$; WH2004), were analyzed.

To characterize the change of SST over the Southern Hemisphere during ECEs in the Mantaro basin, we used daily anomalies of SST from NOAA (Reynolds *et al.*, 2007). This data set has a horizontal resolution of $0.25^\circ \times 0.25^\circ$ and is available starting in 1981. This data set can be download freely at (<https://www.esrl.noaa.gov/psd/data/gridded/data.noaa.oisst.v2.highres.html>).

To establish potential relationships between extreme cold episodes in the Mantaro basin and ENSO during austral summer, we used the Niño 3.4 index from the Climate Prediction Center (CPC, Smith *et al.*, 2008) for the same period 1979-2010.

ENSO conditions were defined according to the Oceanic Niño Index provided by NOAA. Thus, each year was categorized as Neutral, El Niño, or La Niña (http://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php)

To identify the source of the extratropical Rossby wave trains associated with Type-1 and 2 ECEs in the Mantaro basin during the austral summer, we used the diagnostic tool called wave-activity flux (Plumb, 1985) that is based in quasi-stationary Rossby wave propagation theory. Following the Schubert and Park (1991) definition, the horizontal flux components that are applicable for quasi-geostrophic stationary waves on a zonal flow are:

$$F_{\lambda} = \frac{p}{2000a^2 \cos \varphi} \left[\left(\frac{\partial \psi'}{\partial \lambda} \right)^2 - \psi' \frac{\partial \psi'}{\partial \lambda^2} \right], \quad (1a)$$

$$F_{\varphi} = \frac{p}{2000a^2} \left(\frac{\partial \psi'}{\partial \lambda} \frac{\partial \psi'}{\partial \varphi} - \psi' \frac{\partial^2 \psi'}{\partial \lambda \partial \varphi} \right), \quad (1b)$$

...where p is pressure, φ is latitude, λ is longitude, a is the radius of the Earth, ψ is the streamfunction, and primes denote deviation from the zonal mean (the “eddy” component). Wave activity fluxes are parallel to the group velocity and their convergence indicates the piling up of the wave activity, while fluxes’ divergence indicates its export (Plumb, 1985). This approach has been extensively used in both model and observational studies (Takaya and Nakamura, 2001; Vera *et al.*, 2004; Junquas *et al.*, 2016).

Ultimately, compositing techniques and Superposed Epoch Analyses were applied to identify anomalous large-scale atmospheric circulation, cloud cover, and minimum temperature associated with these cold episodes and to characterize the mechanisms responsible for producing them in the central Peruvian Andes. We applied a Student’s t -test (Wilks, 2011) to verify the statistical significance of the results.

4. Climatological features of extreme cold episodes in the MB

We identified 56 extreme cold episodes in the central Peruvian Andes with an average duration of 1.49 days.

Figure (2) shows the results from a Superposed Epoch Analysis of T_{min} during extreme cold episodes (ECEs) in the MB during austral summer for the period 1979-2010. Figure 2(a) illustrates that extreme cold episodes in the MB are short-lived,

with T_{min} dropping sharply to $1.97^{\circ}\text{C} \pm 0.86^{\circ}\text{C}$ below average from day -1 to day 0 (considering day 0 as the day where $T_{min} \leq 2.5^{\circ}\text{C}$) but recovering quickly from day 0 to day +1. Figure 2(a) also illustrates that temperature recovery is observed at day +2 and +3, but at day +3 the temperature anomaly is no longer statistically significant. Figure 2(b) shows the histogram associated with the duration of ECEs in the MB (in percent). It illustrates the short-lived nature of ECEs in the MB, with 91.08 % of all ECEs lasting less than two consecutive days. By contrast, only 1.78 % of all cold episodes show a duration of five or more consecutive days. Hence, ECEs in the Mantaro basin tend to be a very short-lived phenomenon.

Figure (3) illustrates the results of the composite analysis, highlighting the large-scale atmospheric circulation associated with ECEs in the MB. Figure 3(a) shows the predominance of negative T_{min} anomalies over the Tropical Andes (northern Bolivian Andes, Peruvian Andes, and Ecuadorian Andes) during ECEs in the MB.

Figure 3(b) documents negative T_{min} anomalies over the southern and central Peruvian Andes, which even extend toward southeastern Brazil and the South Atlantic. Figure 3(b) also illustrates positive T_{min} anomalies over parts of northeastern Brazil (NEB). This T_{min} pattern is consistent with positive OLR anomalies over the Peruvian Andes, which extend toward the southern Colombian Andes and off the coasts of Peru and northernmost Chile toward 100°W ; while OLR anomalies of the opposite sign prevail over NEB and the western tropical Atlantic (Figure 3(d)). The negative T_{min} anomalies over the central Peruvian Andes in the PISCO and reanalysis datasets are consistent with station-based findings of cold conditions over the study area, but also show that negative temperature anomalies extend over a larger part of the central Peruvian Andes than just the Mantaro basin itself. The negative T_{min} anomalies present along the Andes Mountains are consistent

with the negative temperature advection and southeasterly surface wind anomalies while at the same time positive temperature advection and northwesterly wind anomalies are observed over NEB at the surface (Figure 3(c)). This pattern suggests that ECEs in the MB are caused by the northward advection of cold and dry air from the southernmost part of the continent along the Andes Mountains, while at the same time enhancing convective activity and cloud cover over central NEB, potentially tied to a northward displacement of the SACZ.

The cold advection inhibits cloud formation and convective activity in the study area as well as the intrusion of humidity from the Amazon basin and thus leads to a large temperature drop at nighttime or early morning. At the same time, warm advection is observed over the southeastern coast of NEB, suggesting enhanced convective activity and cloud cover over this region, linked to a northeastward displacement of the climatological position of the SACZ (Carvalho *et al.*, 2004). The Tmin dipole between the MB and NEB agrees with an OLR dipole of positive OLR anomalies located over the study area while negative OLR anomalies persist over NEB (Figure 3(d)).

These surface circulation anomalies agree with the weakening of the mid-tropospheric zonal flow over the study area due to the predominance of 200-hPa westerly wind anomalies that extend across South America toward the tropical Atlantic (Figure 3(d)). Figure 3(d) shows that 200-hPa westerly wind anomalies observed over the study area and the southern Peruvian Andes are associated with a cyclonic circulation centered off the coasts of southern Peru and northern Chile (85°W, 22°S). This latter signal suggests the presence of cut-off lows during the ECEs in the MB. Figure 3(d) also shows an anomalous anticyclonic circulation east of the Nordeste Low. These circulation anomalies are consistent with the weakening of the

Bolivian High-Nordeste Low system at upper-tropospheric levels (Chen *et al.*, 1999) during the days of occurrence of extreme cold episodes in the MB. These results show that extreme cold episodes in the MB are caused mainly by anomalous incursions of cold and dry air masses, advected from the southernmost part of South America (Garreaud 1999b, 2000; Metz *et al.*, 2003; Trasmonte *et al.*, 2008), while few extreme cold events in the MB are caused by cut-off lows (Fuenzalida *et al.*, 2005; Garreaud and Fuenzalida, 2007) or dry spell events in the MB (Sulca *et al.*, 2016). The specific regional processes associated with cut-off lows and dry spells in the MB for the generation of ECEs in the MB during austral summer will not be considered in the remainder of the analysis.

5. Types of extreme cold episodes over the MB during austral summer

5.1. Statistical Features

The results of a statistical analysis of the number of ECEs in the MB associated with suppressed and convective events in the MJO band show that 69.39% of all ECEs in the MB are associated with suppressed or enhanced convective events in the MJO band, with Type-1 (suppressed convective episodes) representing 48.98% of all ECEs in the MB, and Type-2 (enhanced convective episodes) representing 20.41% (Figure not shown). Hence, the MJO tends to be correlated with ECEs in central Peruvian Andes during austral summer.

The results of the identification of MJO Phases during the initial day (day-0) of each Type-1 and 2 extreme cold episodes in the MB are shown in Figure 4. Figure 4(a) documents that 81.5% of Type-1 ECE's and 70% of Type-2 ECE's coincide with an active MJO (Figure 4(b)). The remaining percentage of Type-1 (18.5%) and Type-2 ECEs (30%) in the MB will not be considered in the remainder of the analysis.

The results of a statistical analysis of the number of Type-1 and Type-2 extreme cold episodes in the MB during each MJO Phase in austral summer (in percent) are shown in Figure 5. Figure 5(a) illustrates that RMM Phase-1 represents 23% of all Type-1 episodes, RMM Phase-2 represents 18.2%, RMM Phase-3 represents 9%, RMM Phases 4, 5, and 7 each represent 13.6%, and RMM Phases 6 and 8 both represent 4.5%. With respect to Type-2 ECE's, Figure 5(b) shows that RMM Phases 1, 2 and 6 each represent 14.3% of Type-2 ECE's, RMM Phases 3, 4 and 5 each represent 0%, and RMM Phases 7 and 8 both represent 28.5%. These results suggest that Type 2 ECE's are favoured by RMM phases 6-8 and 1-2, while Type-1 ECE's in the MB during austral summer are more randomly distributed across RMM phases.

5.2. Large-scale atmospheric circulation features

A composite analysis for anomalous fields of surface Tmin, surface wind, temperature advection, OLR, and geopotential height and wind at 200-hPa was performed to identify the role of the episodes with enhanced and suppressed convection in the MJO band in producing Type-1 and -2 extreme cold episodes in the MB (Figures 6 and 7, respectively). Figure 6(a) shows that Type 1-extreme cold episodes in the MB are characterized by negative Tmin anomalies stretching from the Ecuadorian Andes to northern Chile, while at the same time a sequence of negative and positive Tmin anomalies is apparent along the east coast of SA, stretching from the southern tip toward NEB (Figure 6(b)). During Type-2 episodes (Figure 7(a)), negative Tmin anomalies also are observed, again affecting the entire central Andes region from the Ecuadorian Andes to northern Chile in the PISCO data set.

Furthermore, Figure 6(b) also shows that Type-1 ECE's feature an elongated band of negative Tmin anomalies in NW-SE direction extending from southeastern Brazil

toward the South Atlantic, indicative of an enhancement and northeastward displacement of the SACZ during the occurrence of ECEs in the MB, associated with the MJO (Carvalho *et al.*, 2004). Conversely, Type-2 episodes only present negative T_{min} anomalies over the continent southward of $12^{\circ}S$, while positive T_{min} anomalies prevail over equatorial SA northward of $10^{\circ}S$ (Figure 7(b)).

Type-1 ECEs in Figure 6(c) feature negative temperature advection and southeasterly wind anomalies along the Peruvian Andes while positive temperature advection and northwesterly wind anomalies prevail over NEB, extending out over the equatorial Atlantic. These anomalous patterns are consistent with cold air advection over the central Peruvian Andes, which extends toward the southern Colombian Andes, while at the same time warm air advection is observed along the coast of NEB, originating over the South Atlantic. These results indicate that suppressed convection periods in the MJO band are linked to the northward propagation of cold and dry air masses along the Andes. During Type-2 episodes, Figure 7(c) negative temperature advection and southeasterly wind anomalies are apparent along the Andes Mountains while positive temperature advection and westerly surface wind anomalies dominate over NEB. These anomalous fields agree with cold air advection over the central Peruvian Andes originating over southern SA, while warm air advection is observed over NEB. Type-1 episodes are further characterized by a weakening of the zonal flow over the study area at upper tropospheric levels. Figure 6(d) shows that Type-1 events coincide with westerly wind anomalies over all of the Peruvian Andes at 200 hPa. This anomalous wind pattern is consistent with the negative geopotential height anomalies seen over the Altiplano and northern Chile. Figure 6(d) also shows that there is no clear anomalous anticyclonic circulation around the Nordeste Low as was observed in the composite of all ECEs in the Mantaro basin (Figure 6(d)). Overall these upper-air

circulation anomalies indicate the predominance of a weakened Bolivian High at upper-tropospheric levels during Type-1 ECEs in the MB. During Type-2 episodes, 200-hPa westerly wind anomalies dominate over the central Peruvian Andes, but they are less well developed and appear to be associated with negative geopotential height anomalies located off the coast of southern Peru and northern Chile (Figure 7(d)). Figure 7(d) also illustrates anticyclonic wind anomalies at 200-hPa over northeastern Brazil. These circulation anomalies indicate a weakening of the BH-NL system over SA at upper-tropospheric levels during Type-2 ECEs in the MB.

Finally, we performed a superposed epoch analysis of anomalous OLR, and 200 hPa wind and geopotential height to analyze the role of the eastward propagation of the MJO in producing Type-1 and -2 ECEs in the MB during austral summer (Figure 8). Figure 8(a,c,e) shows OLR and 200-hPa wind and geopotential height anomalies prior to, during, and following Type-1 ECEs in the MB (days -5, 0, and +5). Positive OLR anomalies are observed over the MB on day -5, while an OLR dipole is located over the central-northern coast of eastern Brazil (Figure 8(a)). This OLR dipole is associated with cyclonic circulation over southeastern Brazil (46°W , 28°S) and anticyclonic circulation over northeastern Brazil (44°W , 15°S). The positive OLR anomalies strengthen their intensity over all of Peru, while the intensity of the negative OLR anomalies over NEB weakens on day 0 (Figure 8(c)). This pattern is consistent with the presence of westerly wind anomalies over Peru, associated with an anomalous cyclonic circulation over the northern Argentinean Andes (65°W , 25°S), while southeasterly wind anomalies are observed over the northernmost part of NEB. These results indicate a weakening of the Bolivian High during Type-1 ECEs in the MB. The weakening of the BH also is observed on day +5 (Figure 8(e)), but the OLR dipole between the MB and NEB is weaker with respect to day 0. This circulation is

consistent with the presence of westerly wind anomalies, which are linked to the anomalous anticyclonic circulation located off the coast of Colombia (78°W , 3°N) and a cyclonic circulation located over the Peruvian Andes at upper tropospheric levels. These atmospheric circulation anomalies suggest that the MJO favors the northward propagation of cold and dry air along the Andes from the southernmost part of the continent toward the Northern Hemisphere during Type-1 ECEs in the MB. With respect to Type-2 episodes, Figure 8(b,d,f) shows that 200-hPa westerly wind anomalies similarly prevail over the central Peruvian Andes, while southerly wind anomalies are observed over NEB. These results are consistent with a cyclonic circulation located over the northern Chilean Andes (82°W , 22°S) and an anticyclonic circulation located over NEB (54°W , 22°S). This anomalous circulation is again consistent with a weakening of the BH-NL system over SA at upper levels on day -5. Figure 8(d, f) shows that this weakening persists through day 0 and even on to day+5.

5.3. RMM Phases associated with different Types of extreme cold episodes in the MB

The results of a composite analysis of OLR and 200-hPa geopotential height anomalies to analyze the role of the RMM Phases 1, 2, 7, and 8 in Type-1 and -2 ECEs in the Mantaro Basin are shown in Figure 9. Figure 9(a,e,g) shows that entire western South America, including the central Peruvian Andes, are under the influence of negative geopotential height anomalies during Type-1 ECEs located on RMM Phases 1, 7 and 8. The same negative OLR anomalies along western SA, which include the central Peruvian Andes, also are observed in Type-2 ECEs that are located on RMM Phases 1, 2 and 8 (Figure 9(b,d,h)). Although the northward propagation of negative geopotential height anomalies can also be observed in Type-1 ECEs located

on RMM Phase-2, they do not propagate northward of 12°S due to the predominance of positive geopotential height anomalies along the equatorial Pacific and tropical South America (Figure 9(b)). The same feature is observed in Type-2 ECEs located on RMM Phase 7, but the negative OLR anomalies cannot propagate northward of 18°S due to the presence of positive geopotential height anomalies along the equatorial Pacific and tropical SA. These circulation anomalies suggest that Type-1 and Type-2 ECEs in the MB are dynamically linked with RMM Phases 1, 2, 7 and 8 through the northward propagation of cold air advection along the eastern slope of the Andes from southernmost South America toward the Northern Hemisphere.

An additional composite analysis of SST and 200-hPa quasi-geostrophic (QG) stream-function and wave-activity flux anomalies was made to identify the source of the extratropical Rossby wave trains observed during Type-1 and -2 ECEs in the Mantaro Basin located on RMM Phases 1, 2, 7 and 8 (Figures 10 and 11). During Type-1 ECEs, Figure 10(a,d) shows that Type-1 events located on RMM Phases 1 and 8 are linked to positive SST anomalies over southeastern Africa while at the same time upper-level QG stream-function wave trains and northwesterly wave-activity flux anomalies between 30°S and 60°S, emanate from southeastern Africa toward South America. These circulation anomalies are consistent with dry conditions over subtropical SA induced by convective activity off the coast of southeastern Africa through extratropical Rossby-wave trains (Hoskins and Ambrizzi, 1993; Drumond and Ambrizzi, 2008). Figure 10(b-c) shows that Type-1 ECEs located on RMM Phases 2 and 7 are linked to a QG stream-function wave train at 200 hPa, and a westerly wave-activity flux that emanates from the subtropical southern Pacific (130-150°W, 20-50°S) toward South America. These results are consistent with an oblique band of positive SST anomalies (160°W, 35°S and 115°W, 55°S) and positive SST

anomalies inside the region (120-150°W, 35-60°S) during Type-1 ECEs located on RMM Phase 2 and RMM Phases 7 (Figures 10(b) and 10(c), respectively). These results further suggest that a positive SE band of SST anomalies over the subtropical southern Pacific Ocean is associated with the formation of an extratropical Rossby wave train toward SA during RMM Phase 2, while positive SST anomalies over the entire subtropical South Pacific strengthen the circumpolar Rossby wave trains during their propagation toward SA during RMM Phases 7 and 8.

Conversely, Figure 11(a-d) shows that Type-2 ECEs located on RMM Phases 1-2 and 7-8 are associated with 200-hPa QG stream-function waves and westerly wave-activity flux anomalies, which propagate longitudinally between 35°S and 65°S.

Figure 11(a-d) also shows weak positive SST anomalies over southeastern Africa and the subtropical South Pacific during Type-2 events located on RMM Phases 1, 2, 7 and 8. These results suggest that these positive SST anomalies might be induced during the eastward propagation of the circumpolar Rossby wave train. Hence, Type-2 ECEs located on RMM Phases 1, 2, 7 and 8 are linked dynamically to the eastward propagation of circumpolar Rossby waves that cross SA and propagate northward along the eastern slope of the Andes.

The exact wave number of the 200-hPa extratropical Rossby-wave trains released by the convective activity off southeastern Africa is beyond the scope of this study, but as pointed out by Hoskins and Karoly (1981), it likely involves the identification of the main zonal wave number of the southern planetary wave through one-dimensional FFT (Fast Fourier Transformation) [see also Liu *et al.* (2005)].

6. Influence of the MJO and ENSO on minimum surface temperature over the Peruvian Andes during austral summer

On interannual timescales, not considering the effects of varying MJO phases, there is no relationship between the frequency of extreme cold episodes in the MB and ENSO. There is no correlation between number of cold episodes during the austral summer and the state of ENSO as measured by the Niño 3.4 index ($r = 0.01$, not shown). One would assume that El Niño might favor the occurrence of extreme cold episodes in the MB by enhancing meridional baroclinicity over the eastern Pacific, thereby strengthening the upper-level westerly flow over the Peruvian Andes, which in turn inhibits easterly moisture influx and reduces cloud cover over the central Peruvian Andes (Garreaud *et al.*, 2003; Sulca *et al.*, 2017). On the other hand, however, El Niño events tend to warm the circum-tropical troposphere, thereby reducing the likelihood of extreme cold events. Given that the correlation is virtually zero, the two competing processes appear to cancel one another.

A composite analysis of JFM Tmin anomalies over Peru during each MJO Phase associated with Neutral years was performed to assess the impact of each Phase on JFM-Tmin anomalies over the Peruvian Andes (Figure 12). Figure 12(a,b,g,h) shows negative Tmin anomalies over the central Peruvian Andes from RMM Phase 7 to 2, but they are weakest and strongest in RMM Phase 7 and RMM Phase 1, respectively. Figure 12(g,h) also shows that the negative Tmin anomalies in RMM Phases 7 and 8 are confined to the Mantaro basin while RMM Phases 1 and 2 register negative Tmin anomalies over all of the Peruvian Andes. Nonetheless, the Tmin anomalies seen in the PISCO dataset during RMM Phases 7, 8, 1 and 2 are weaker than the intensity of the extreme cold events in the MB. Conversely, Figure 12(c,d,e,f) shows that positive Tmin anomalies are present over the central Peruvian Andes from RMM Phase 3 to 6, but the weakest and strongest anomalies are observed in Phases 3 and 4, respectively. Figure 12(d,e,f) displays that RMM Phases 4, 5 and 6 register positive Tmin

anomalies along the Tropical Andes from northern Chile to Ecuador, while positive T_{min} anomalies are confined to Mantaro basin in RMM Phase 3. These results show that the sign of the T_{min} anomalies over the central Peruvian Andes in the RMM Phase 3 and 7 are opposite with respect to those documented in Alvarez *et al.* (2016). This contradiction is likely caused by the higher station density of the PISCO data set. In addition, a composite analysis of T_{min} anomalies during each MJO phase was performed for El Niño and La Niña events (Figures 13 and 14). During El Niño, Figure 13(a,g,h) shows negative T_{min} anomalies over the Tropical Andes from northern Chile to Ecuador, but the coldest T_{min} values are observed in RMM Phases 7, 8 and 1. El Niño enhances meridional baroclinicity that induces upper-level westerly flow over equatorial and subtropical SA, strengthening the eastward propagation of the MJO during RMM Phases 7, 8 and 1 (Garreaud *et al.*, 2003; Moon *et al.*, 2011; Sulca *et al.*, 2018). The enhanced meridional baroclinicity also strengthens the large-scale subsidence over the central Peruvian Andes during RMM Phase 1 (Moon *et al.*, 2011; Shimizu and Ambrizzi, 2016). Conversely, Figure 13(c,d,e,f) illustrates that positive T_{min} anomalies are present over the central Peruvian Andes from RMM Phases 3 to 6, but they only cover all of Peru during RMM Phases 5 and 6. These results are consistent with the effect of a warming circum-tropical troposphere during El Niño.

With respect to La Niña, Figure 14(h, a, b, c) shows negative T_{min} anomalies over the central Peruvian Andes in RMM Phases 8, 1, 2 and 3; but they are weaker than in Neutral and El Niño years. These results are consistent with the effect of reduced meridional baroclinicity, which enhances upper-level easterly flow over Peru during La Niña (Garreaud *et al.*, 2003; Moon *et al.*, 2011; Sulca *et al.*, 2018). This upper-level easterly flow favors the influx of moisture from the Amazon basin to the central

Peruvian Andes, thereby enhancing deep convection and cloud cover (Garreaud et al., 2003), which tends to reduce night-time and early morning radiative cooling.

Conversely, RMM Phases 4, 5, 6 and 7 present positive T_{min} anomalies over central Peruvian Andes (Figure 14(d,e,f,g)). The positive T_{min} anomalies are restricted to the central-southern Peruvian Andes during RMM Phases 4 and 7 (Figure 14(d,g)) while RMM Phases 5 and 7 feature positive T_{min} anomalies over all the Tropical Andes from northern Chile to Ecuador (Figure 14(e,f)).

7. Summary and conclusions

Extreme cold episodes in the Mantaro basin have a duration of only 1 - 2 days and are characterized by a sharp drop in minimum temperature of, on average, approximately $1.97^{\circ}\text{C} \pm 0.86^{\circ}\text{C}$ between day -1 and day 0. The short-lived nature of these events is apparent when considering that 91.08 % of all extreme cold episodes have an average duration of 2 days or less, while extreme cold events with long duration (more than five days) only occur 1.78 % of the time.

Extreme cold episodes in the Mantaro basin appear to be caused by cold air advection associated with equatorward propagation of midlatitude Rossby wave trains to the east of the Andes at nighttime or early morning. The equatorward propagation of cold and dry air masses reduces cloud cover and inhibits moisture influx, convective activity and hence cloud formation. Furthermore, the cold advection weakens the Bolivian High - Nordeste Low (BH-NL) system over SA at upper-tropospheric levels. The observed teleconnection between the Bolivian High and the Nordeste Low explains the observed T_{min} dipole pattern with NEB during extreme cold episodes in the MB. Furthermore, this T_{min} dipole is consistent with an observed OLR dipole of opposite sign between the MB and NEB.

On intraseasonal timescales, the Madden-Julian Oscillation favors the northward propagation of cold and dry air, along the eastern slope of the Andes and thus leads to Type-1 and -2 ECEs in the MB. Type-1 events are associated with a weakening of the Bolivian High at upper-tropospheric levels. Furthermore, Type 1 events are related to suppressed periods of the MJO, favouring the northward propagation of cold and dry air along the Andes. Type-1 ECEs located on RMM Phases 1 and 8 are linked dynamically with extratropical Rossby wave trains emanating from southeastern Africa (i.e., warm SST conditions) while Type-1 events located on RMM Phases 2 and 7 are associated with circumpolar Rossby wave trains that are strengthened when they cross the subtropical South Pacific (i.e., warm SST anomalies). Conversely, Type-2 events are associated with a weakening of the entire Bolivian High-Nordeste Low (BH-NL) system over SA at upper-tropospheric levels. Furthermore, Type 2 events are related to periods of enhanced convective activity of the MJO, favouring the northward propagation of cold and dry air along the Andes. Furthermore, Type-2 events located on RMM Phases 1-2 and 7-8 are associated with circumpolar Rossby wave trains.

The MJO is associated with ECEs in the Mantaro basin during austral summer. 59% of all Type-1 ECEs in the MB are associated with RMM Phases 7, 8, 1 and 2; they feature a weakened Bolivian High induced by the northward propagation of cold and dry air along the Andes. 86% of all Type-2 ECEs in the MB coincide with MJO Phases 7, 8, 1 and 2. They occur in association with a weakened Bolivian High-Nordeste Low system and also feature a northward propagation of cold and dry air along the Andes.

On interannual timescales, no clear pattern or forcing emerged. The El Niño-Southern Oscillation phenomenon is not significantly correlated with extreme cold episode

occurrence. ENSO does, however, modulate the impact of the MJO on minimum temperature over the central Peruvian Andes during austral summer. Both ENSO phases (i.e., El Niño and La Niña) strengthen negative minimum temperature anomalies over the Mantaro basin during MJO Phases 7-8 while they weaken positive minimum temperature anomalies over the MB during MJO Phases 3-6. El Niño also induces positive minimum temperature anomalies over all of the Peruvian Andes during MJO Phase 2.

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Captions

Table 1: List of meteorological stations used in this study.

Figure 1: Location of Mantaro valley and all stations used in this study: **a)** South America, **b)** Mantaro basin, **c)** and Mantaro valley. The locations of all stations used in this study are represented by black circles. Black box represents the location of northeast Brazil.

Figure 2: (a) Superposed epoch analysis applied to daily minimum temperature anomalies for extreme cold episodes in the central Peruvian Andes during austral summer (January-February-March). (b) Histogram of the duration of extreme cold episodes in the central Peruvian Andes during the austral summer. Green circles represent days where minimum temperature anomalies are statistically significantly different from zero at 95% confidence level based on Student's t-test. Data of minimum temperature of Huayao station was used in this study. Analysis based on the period 1979-2010.

Figure 3: Composite anomalies during extreme cold episodes in the MB for minimum surface temperature (°C) anomalies using (a) PISCO data set and (b)

NCEP-NCAR reanalysis, (c) surface temperature advection ($10^6 \text{ }^\circ\text{C ms}^{-1}$) and surface wind (ms^{-1}) anomalies, and (d) OLR (W m^{-2}) and 200-hPa wind (ms^{-1}) and geopotential height anomalies (blue (red) contours for negative (positive) anomalies, contour interval is 10 gpm, 0-contour omitted). Only minimum surface temperature, temperature advection, OLR, and wind anomalies statistically significant at the 90% confidence level are shown. Confidence-level based on a two-sided Student's t-test. Black dot represents the location of Mantaro Basin. Analysis based on NCEP-NCAR reanalysis data set during the austral summer (January-February-March) for the 1979-2010 period. PISCO data set was used in this study for the 1981-2010 period.

Figure 4: (RMM1, RMM2) phase space points for all Type-1 (a) and Type-2 (b) extreme cold episodes in the Mantaro basin. Eight defined regions of the phase space are labelled. ECEs in the Mantaro basin that are associated with a weak MJO are located inside the yellow circle. Analysis based on 1979-2010 period.

Figure 5: Histogram of the number of ECE's in the MB during each RMM Phase for (a) Type-1 ECE's and (b) Type-2 ECE's. Analysis based on Type-1 and -2 ECEs that occur during active MJO phases only (e.g., $\sqrt{RMM1^2 + RMM2^2} \geq 1$). Analysis based on 1979-2010 period.

Figure 6: Composite anomalies during Type-1 extreme cold episodes in the MB for minimum surface temperature anomalies ($^\circ\text{C}$) using (a) the PISCO data set and (b) NCEP-NCAR reanalysis, (c) surface temperature advection ($10^6 \text{ }^\circ\text{C ms}^{-1}$) and surface wind anomalies (ms^{-1}), and (d) OLR (Wm^{-2}) and 200-hPa wind (ms^{-1}) and geopotential height (blue (red) contours for negative (positive) anomalies, contour interval is 10 gpm, 0-contour omitted) anomalies. Only anomalies of surface minimum temperature, temperature advection, OLR and wind that are statistically

significant at the 90% confidence level are shown. Confidence-level based on a two-sided Student's t-test. In d) elevations above 1000 m, 2000 m and 3000 m are indicated by light to dark brown shading. Black dot indicates location of Mantaro Basin. Analysis based on NCEP-NCAR reanalysis during austral summer (January-February-March) for the 1979-2010 period. PISCO data set was used in this study for the 1981-2010 period.

Figure 7: As in Figure 6, but for Type-2 extreme cold episodes in the MB during the austral summer.

Figure 8: Superposed epoch analysis of anomalous fields of OLR (Wm^{-2}) and 200-hPa wind (ms^{-1}) and geopotential height (blue (red) contours for negative (positive) anomalies, contour interval is 10 gpm, 0-contour omitted) for (a, c, and e) Type 1 and (b, d, and f) Type 2 extreme cold episodes in the Mantaro Basin. Analysis based on data during austral summer (JFM) from 1979 to 2010. Black dot indicates location of Mantaro Basin. Analysis based on NCEP-NCAR reanalysis for the 1979-2010 period.

Figure 9: Composite anomalies of OLR (W m^{-1} , *shading*) and 200-hPa geopotential height (blue (red) contours for negative (positive) anomalies, contour interval is 10 gpm, 0-contour omitted) during (a, c, e, and g) Type-1 and (b, d, f, and h) Type-2 extreme cold episodes in MB for RMM Phase 1, 2, 7 and 8. Black dot indicates location of Mantaro Basin.

Figure 10: Composite anomalies of SST ($^{\circ}\text{C}$, *shading*) and 200-hPa quasigeostrophic stream-function (dashed (solid) contours for negative (positive) anomalies, contour interval is $3 \times 10^6 \text{ m}^2 \text{ s}^{-1}$, 0-contour omitted) and 200-hPa wave-activity flux ($\text{m}^2 \text{ s}^{-2}$)

anomalies during Type-1 extreme cold episodes in the MB located on RMM Phases 1, 2, 7 and 8 (**a**, **b**, **c** and **d**). Only wave-activity flux anomalies higher than $8 \text{ m}^2 \text{ s}^{-2}$ are shown. Black dot indicates location of Mantaro Basin.

Figure 11: As in Figure 10, but for Type-2 extreme cold episodes in the MB that only coincide with RMM Phases 7, 8, 1 and 2.

Figure 12: Composites of JFM minimum surface temperature anomalies for each RMM Phase of MJO during ENSO Neutral years. Blue contours indicate minimum temperature anomalies that are significant at the 90% confidence interval. Contour interval is $0.5 \text{ }^{\circ}\text{C}$ and 0-contour is omitted. PISCO data set was used in this study for the 1981-2010 period.

Figure 13: As in Figure (12), but for El Niño years.

Figure 14: As in Figure (12), but for La Niña years.

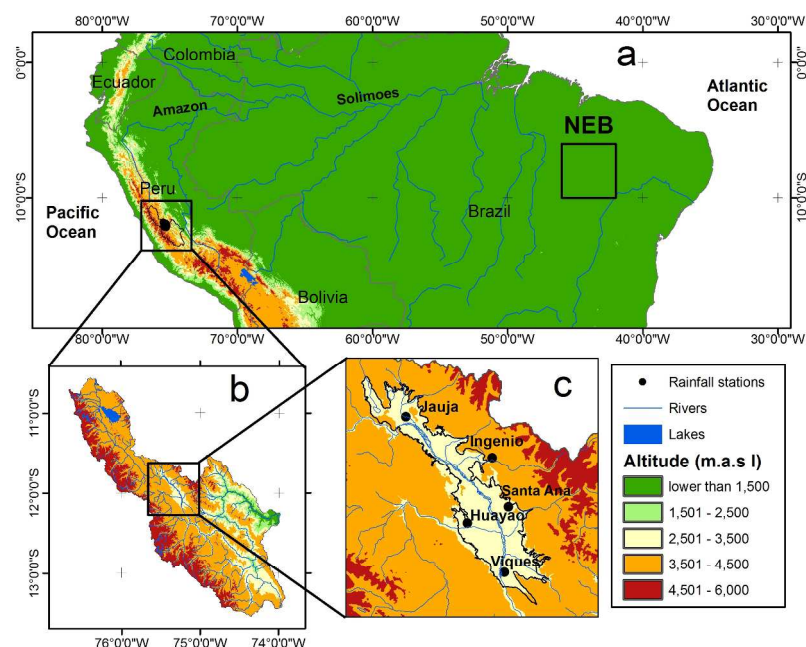


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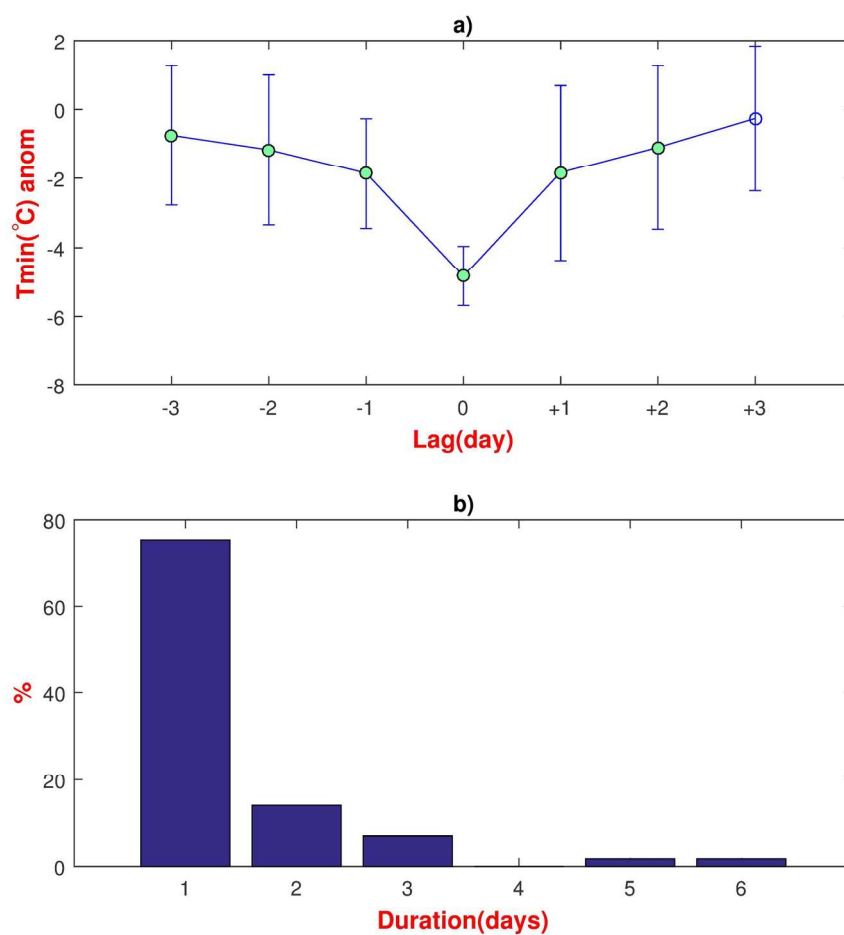


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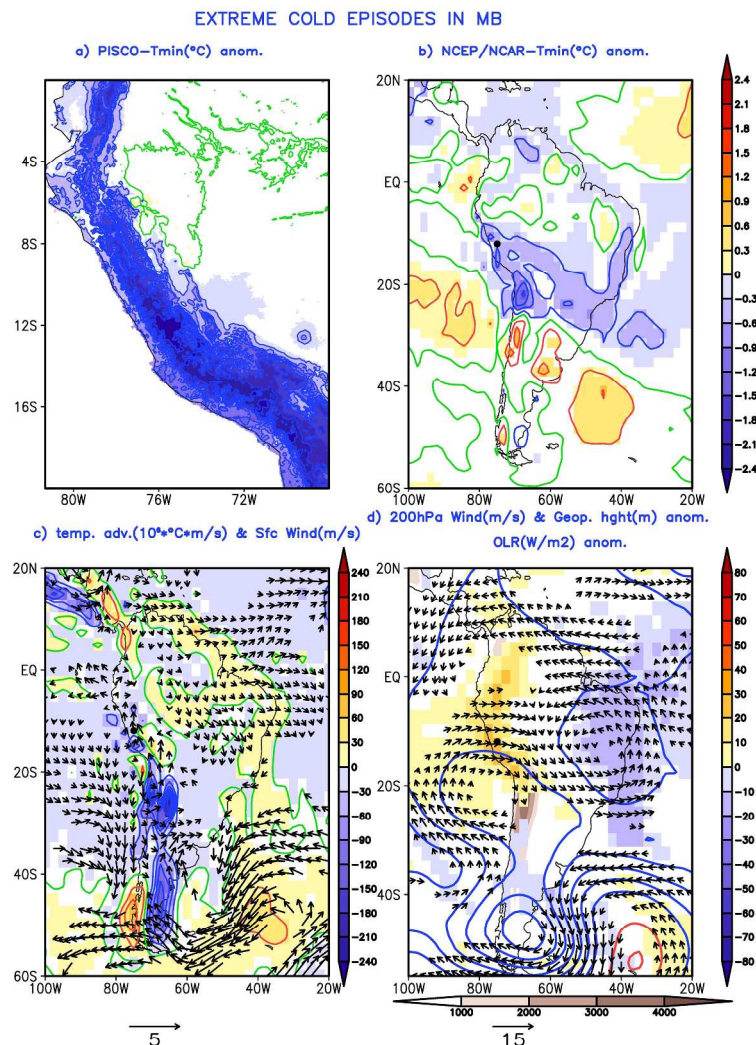


Figure 3: Composite anomalies during extreme cold episodes in the MB for minimum surface temperature (°C) anomalies using (a) PISCO data set and (b) NCEP-NCAR reanalysis, (c) surface temperature advection (10^6 °C ms⁻¹) and surface wind (ms⁻¹) anomalies, and (d) OLR (W m⁻²) and 200-hPa wind (ms⁻¹) and geopotential height anomalies (blue (red) contours for negative (positive) anomalies, contour interval is 10 gpm, 0-contour omitted). Only minimum surface temperature, temperature advection, OLR, and wind anomalies statistically significant at the 90% confidence level are shown. Confidence-level based on a two-sided Student's t-test. Black dot represents the location of Mantaro Basin. Analysis based on NCEP-NCAR reanalysis data set during the austral summer (January-February-March) for the 1979-2010 period. PISCO data set was used in this study for the 1981-2010 period.

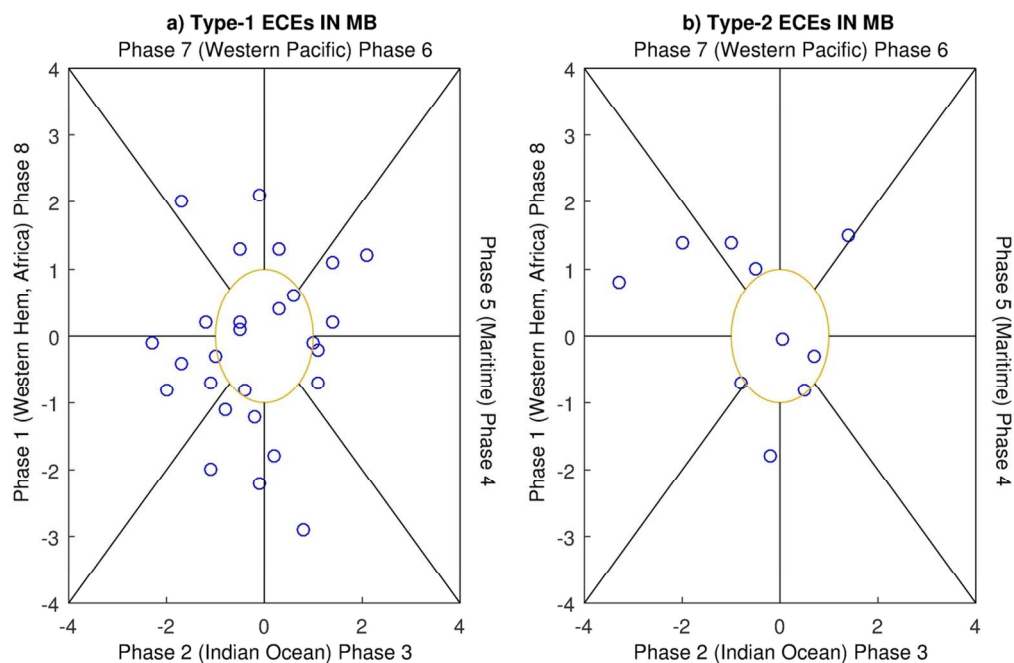


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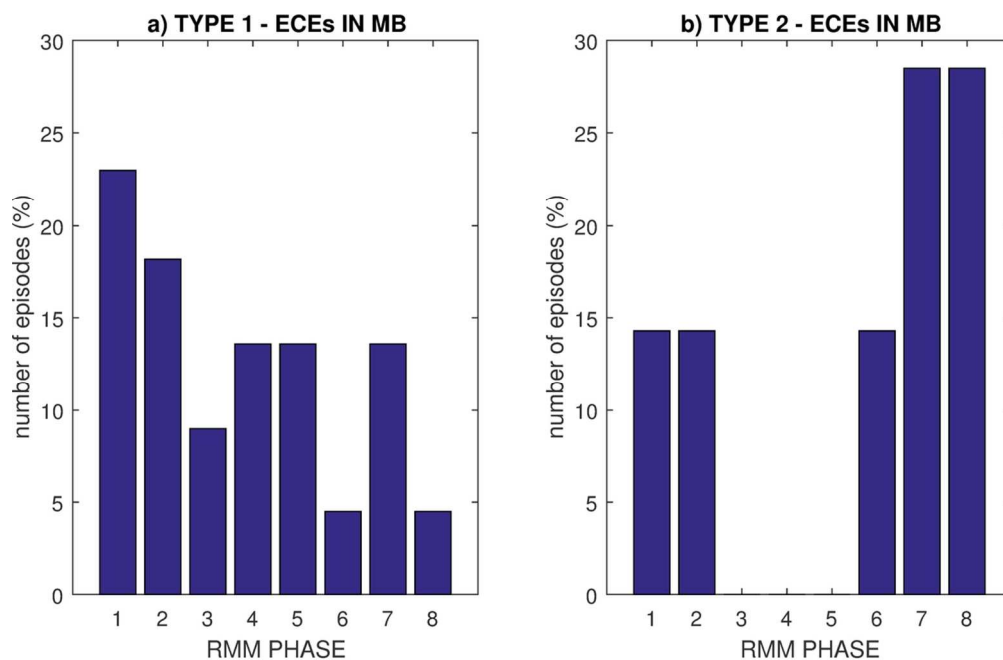


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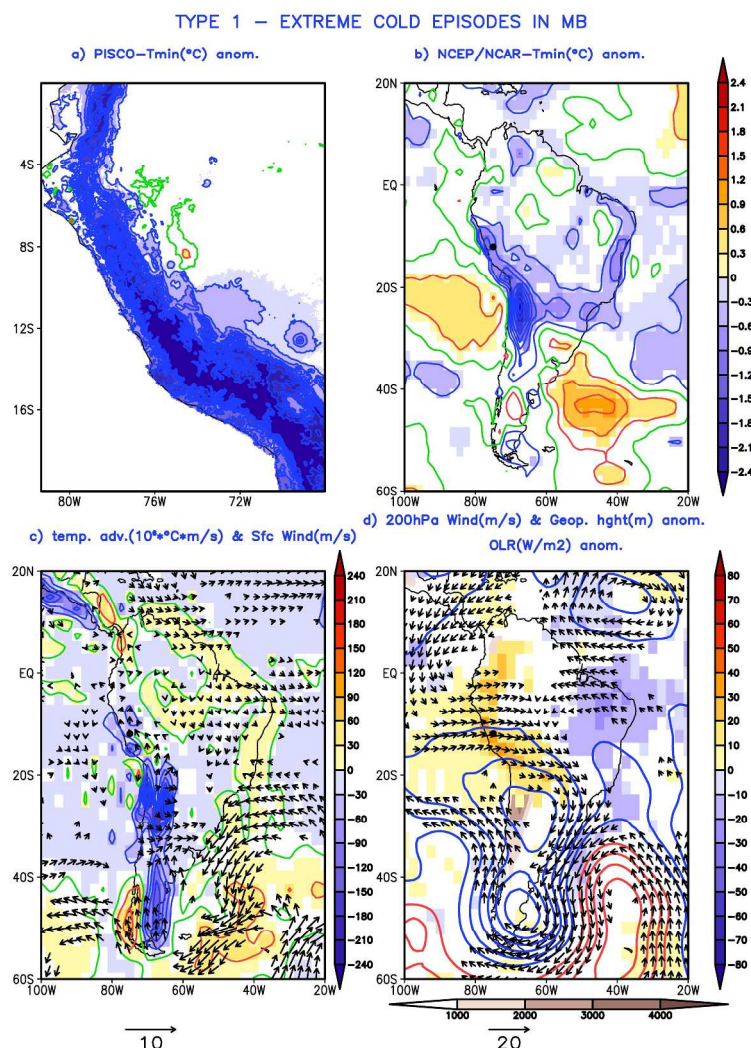


Figure 6. Composite anomalies during Type-1 extreme cold episodes in the MB for minimum surface temperature anomalies (°C) using (a) the PISCO data set and (b) NCEP-NCAR reanalysis, (c) surface temperature advection ($106^{\circ}\text{C ms}^{-1}$) and surface wind anomalies (ms^{-1}), and (d) OLR (Wm^{-2}) and 200-hPa wind (ms^{-1}) and geopotential height (blue (red) contours for negative (positive) anomalies, contour interval is 10 gpm, 0-contour omitted) anomalies. Only anomalies of surface minimum temperature, temperature advection, OLR and wind that are statistically significant at the 90% confidence level are shown. Confidence-level based on a two-sided Student's t-test. In d) elevations above 1000 m, 2000 m and 3000 m are indicated by light to dark brown shading. Black dot indicates location of Mantaro Basin. Analysis based on NCEP-NCAR reanalysis during austral summer (January-February-March) for the 1979-2010 period. PISCO data set was used in this study for the 1981-2010 period.

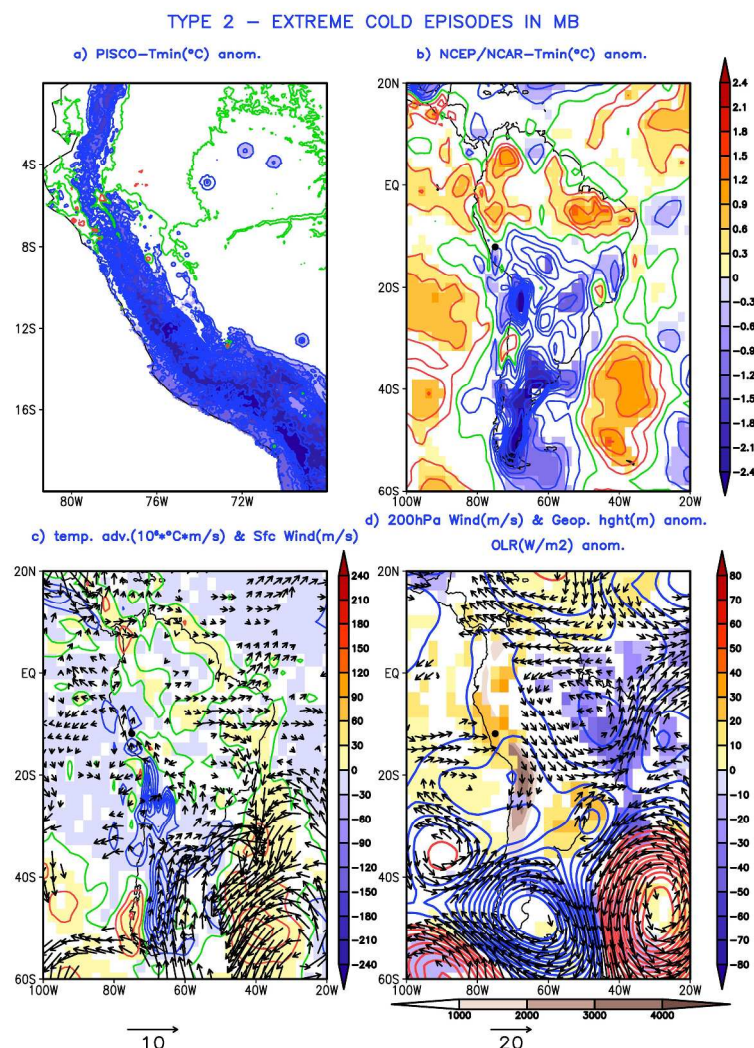


Figure 7. As in Figure 6, but for Type-2 extreme cold episodes in the MB during the austral summer.

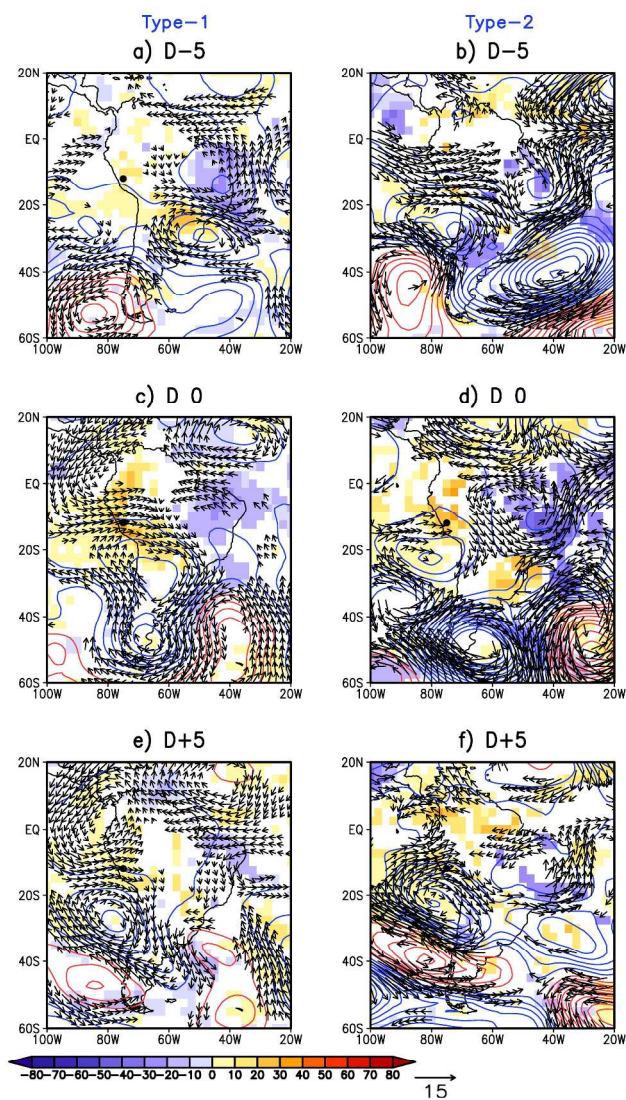


Figure 8. Superposed epoch analysis of anomalous fields of OLR (Wm⁻²) and 200-hPa wind (ms⁻¹) and geopotential height (blue (red) contours for negative (positive) anomalies, contour interval is 10 gpm, 0-contour omitted) for (a, c, and e) Type 1 and (b, d, and f) Type 2 extreme cold episodes in the Mantaro Basin. Analysis based on data during austral summer (JFM) from 1979 to 2010. Black dot indicates location of Mantaro Basin. Analysis based on NCEP-NCAR reanalysis for the 1979-2010 period.

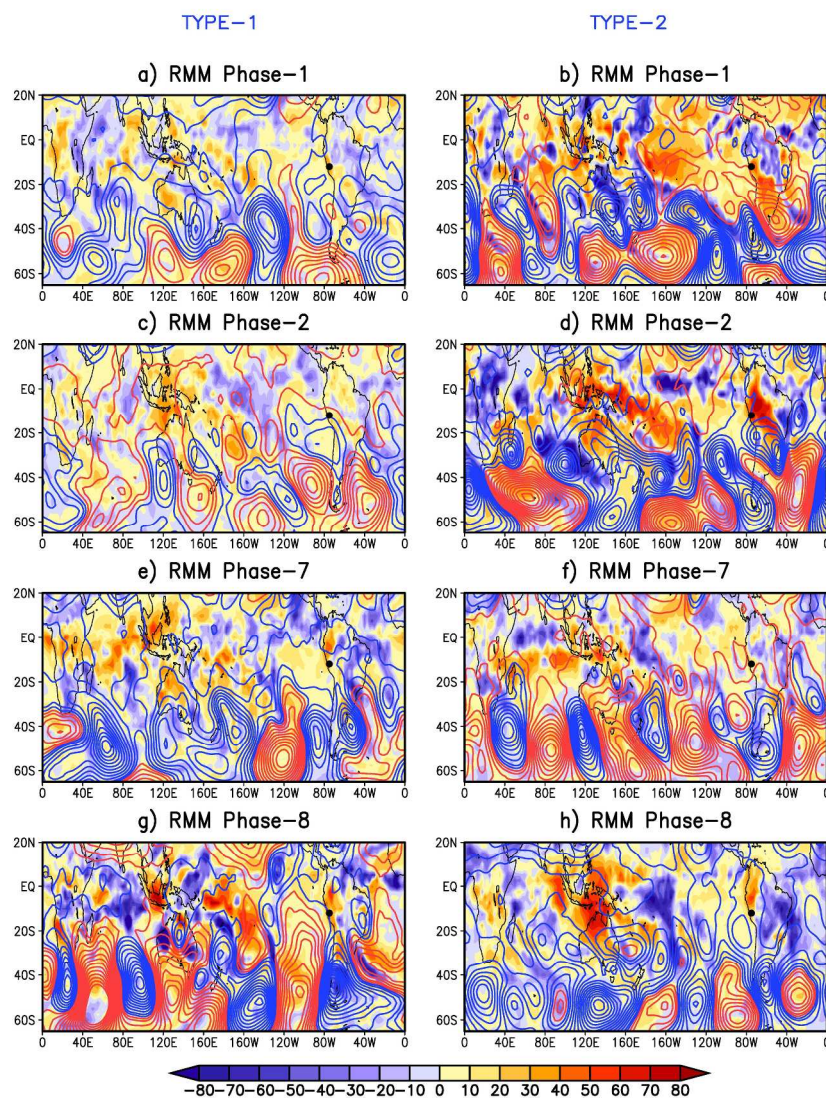


Figure 9. Composite anomalies of OLR (W m^{-1} , shading) and 200-hPa geopotential height (blue (red) contours for negative (positive) anomalies, contour interval is 10 gpm, 0-contour omitted) during (a, c, e, and g) Type-1 and (b, d, f, and h) Type-2 extreme cold episodes in MB for RMM Phase 1, 2, 7 and 8. Black dot indicates location of Mantaro Basin.

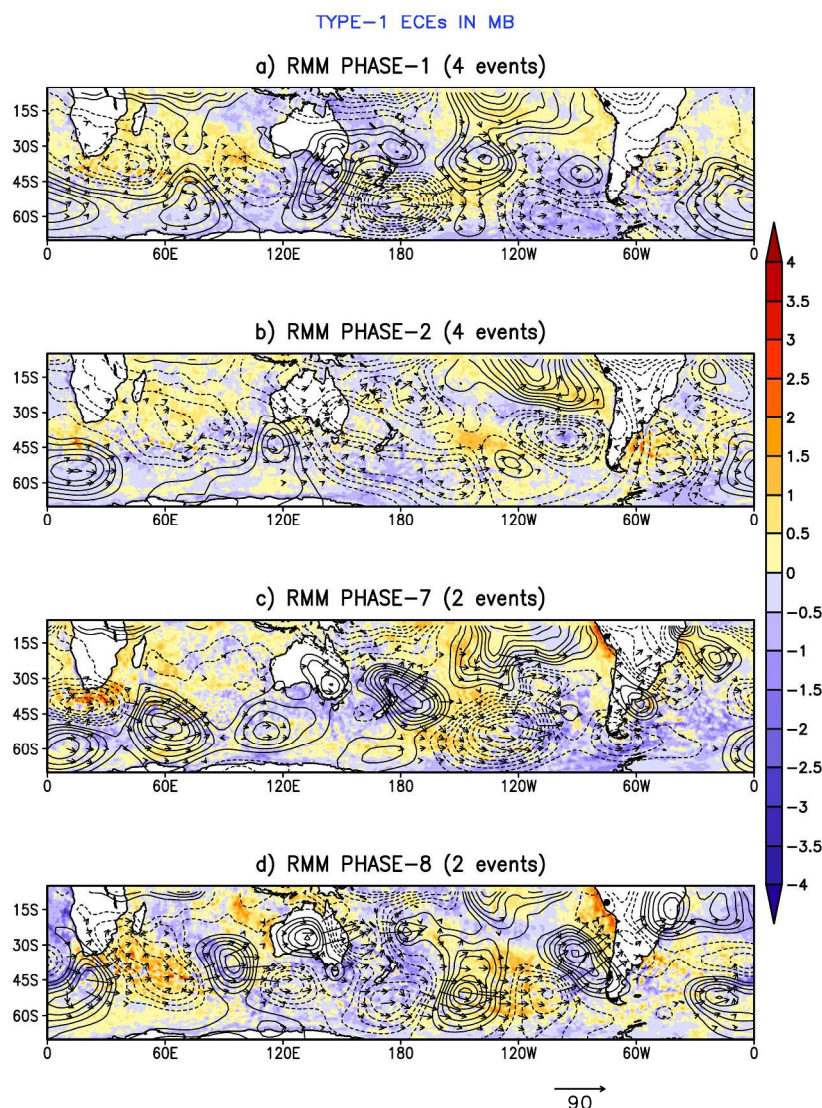


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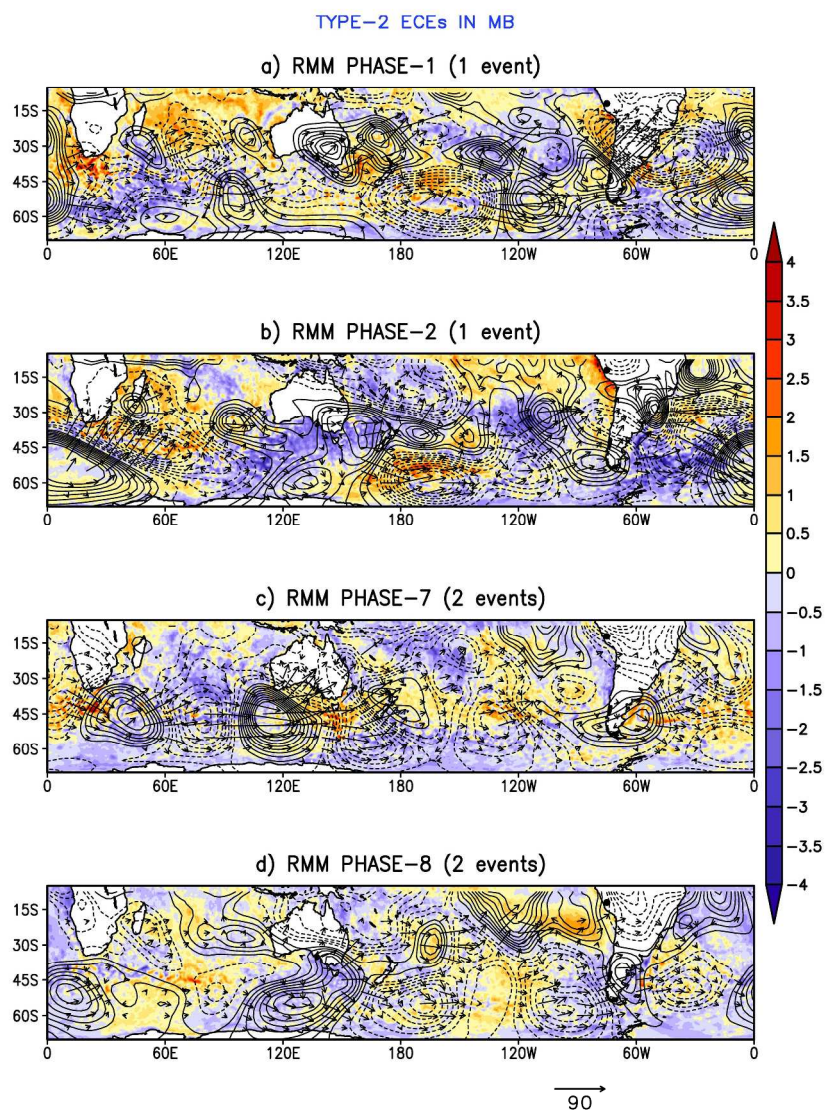


Figure 11. As in Figure 10, but for Type-2 extreme cold episodes in the MB that only coincide with RMM Phases 7, 8, 1 and 2.

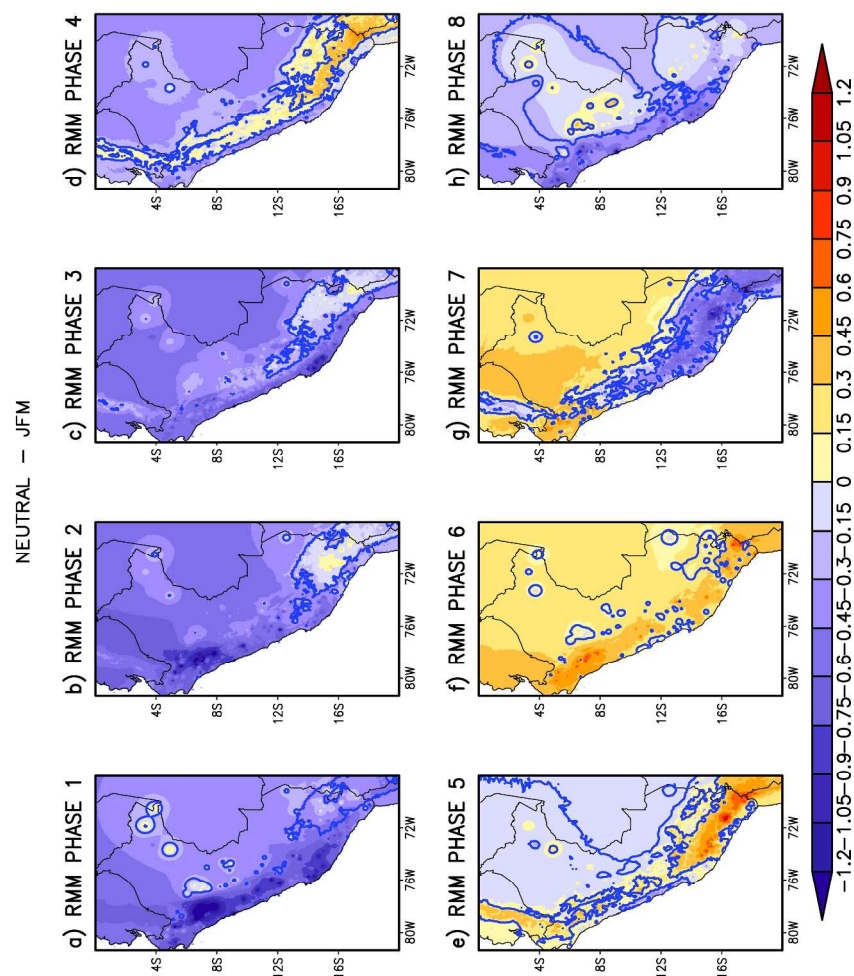


Figure 12. Composites of JFM minimum surface temperature anomalies for each RMM Phase of MJO during ENSO Neutral years. Blue contours indicate minimum temperature anomalies that are significant at the 90% confidence interval. Contour interval is 0.5 °C and 0-contour is omitted. PISCO data set was used in this study for the 1981-2010 period.

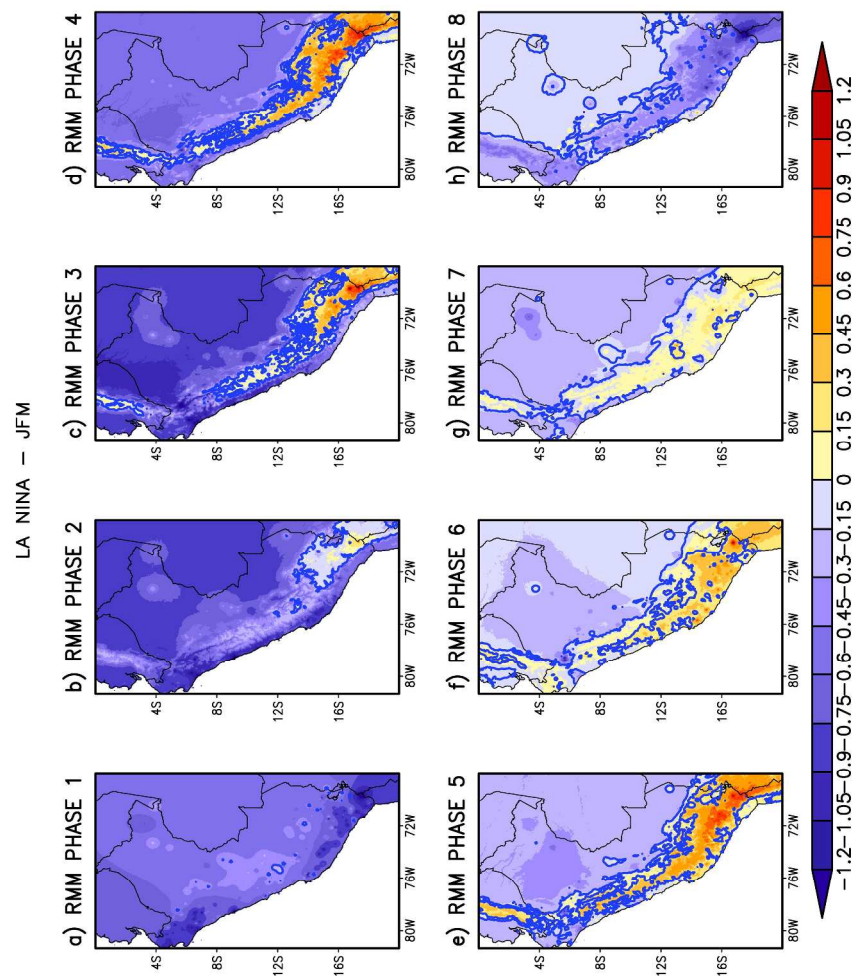


Figure 13. As in Figure (12), but for El Niño years.

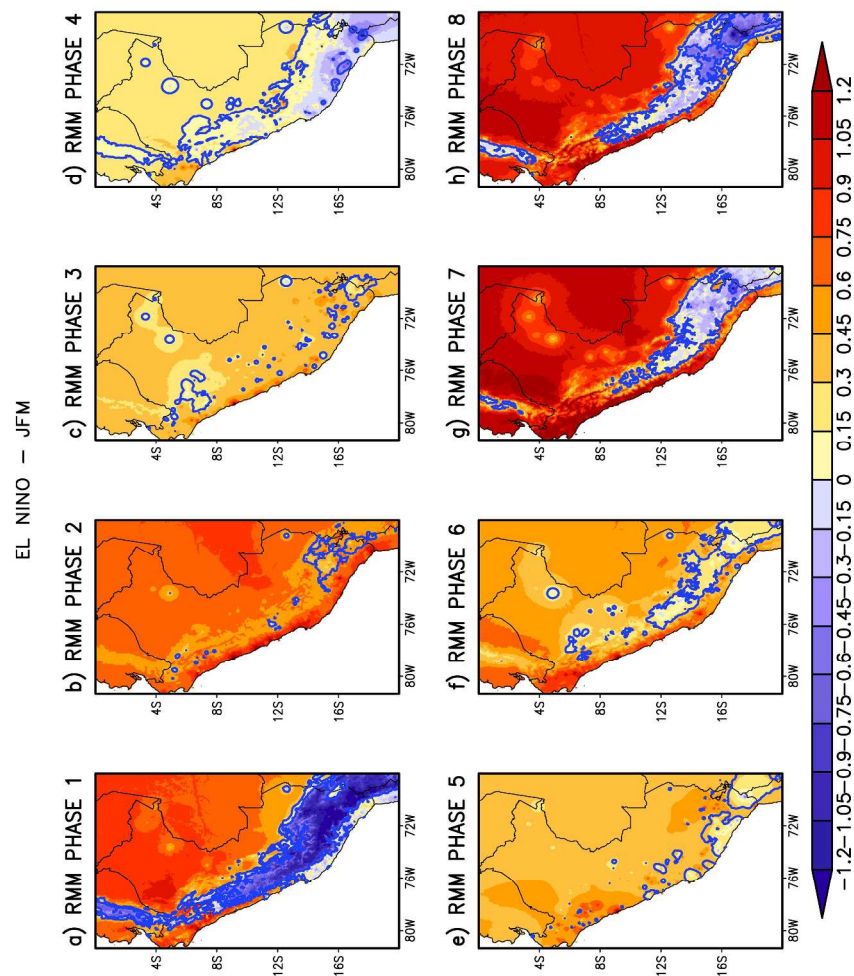


Figure 14. As in Figure (12), but for La Niña years.

Tables

Station	Latitude (°S)	Longitude (°W)	Altitude (m.a.s.l.)	Period	Source
Huayao	12.04	75.32	3308	1979-2010	IGP
Jauja	11.78	75.47	3322	1993-2010	SENAMHI
Santa Ana	12.00	75.47	3295	1993-2010	SENAMHI
Ingenio	11.88	75.26	3450	2001-2010	SENAMHI
Viques	12.16	75.23	3186	2001-2010	SENAMHI

IGP: Peruvian Geophysical Institute - Peru

SENAMHI: National Service of Meteorology and Hydrology -Peru

Table 1.